



CID Code of Conduct Monitoring and Evaluation plan

Purpose

To outline how CID, its members and the CCC will work to monitor and evaluate the effectiveness of the CID Code of Conduct in achieving its stated aim and objectives.

The aim of the Code is to improve international development outcomes and increase stakeholder trust by enhancing transparency and accountability of signatory organisations.

The objectives of the Code are to:

- Raise standards of practice in the New Zealand development sector across three areas of accountability – programme principles, public engagement and organisation
- Support more transparent and accountable organisations
- Provide assurance to stakeholders.

Components of the plan

The CID Code of Conduct is built on an assumption that the good practices contained in the Code lead to better development outcomes and increased stakeholder trust¹. Thus the Code of Conduct M&E plan focuses on monitoring whether members comply with the Code of Conduct and successfully implement the Code and its principles throughout their organisations, and ensuring that signatory organisations have effective systems in place for monitoring and evaluating the effectiveness of their own activities.

The monitoring and evaluation of the Code will consist of the following elements:

1. Self-monitoring by signatory organisations
2. Triennial re-assessment of compliance through the Compliance Self-Assessment process
3. A survey of signatory organisations conducted by CID
4. Periodic independent evaluation of a sub-set of the CID membership
5. A mechanism for feeding lessons learned back into the Code of Conduct and its implementation.

1. Self-monitoring by signatory organisations

Given that the Code of Conduct is designed to be a self-regulatory mechanism, the main responsibility for monitoring compliance and effectiveness lies with the signatory organisations themselves. Self-monitoring should include identification of any areas where the organisation was not compliant in the previous 12 months. CID can provide tools to its members to assist them with this task.

¹ The principles and obligations of the CID Code are based largely on the Istanbul CSO Development Effectiveness Principles agreed at the Open Forum's Global Assembly in Istanbul, September 28-30 2010.



Most organisations already have systems in place for monitoring and evaluating their effectiveness, and institutional donors often require their own rigorous evaluation and review procedures for funded activities.

2. Triennial re-assessment of compliance through the Compliance Self-Assessment process

The levels of compliance of CID member organisations would be expected to rise over time, if the Code of Conduct is being effective. This can be determined using the Compliance Self-Assessment (CSA) documentation submitted by signatory organisations every three years, and would require the production of a periodic ‘compliance report’, outlining overall levels of compliance and any particular areas of difficulty. This would allow the comparison of overall levels of compliance over time.

For individual organisations, CID’s monitoring at the triennial re-assessment stage will focus on the mandatory principles, any areas of high risk, and areas of partial compliance, or where suggestions for improvement were given.

3. Survey of signatory organisations

A short survey to be sent periodically to all signatory organisations would provide an accessible way to gather general data on organisations’ perceptions of their progress in implementing the Code, its effectiveness, and any barriers or issues. This will be sent to organisations once they have been granted signatory status for the first time, and then on an annual basis or as part of the broader CID member survey.

4. Periodic independent evaluation

Periodically – for example, every three years – an independent evaluator could conduct a more comprehensive evaluation of the implementation of the CID Code of Conduct on a small number of selected signatory organisations.

5. Lessons learned

Mechanisms should be developed for ensuring that lessons learned regarding the implementation of the Code are fed back into the Code and the tools provided for supporting its implementation.

This would require information from the above sources to be consolidated, anonymized and shared with members, possibly for discussion at an annual / biennial / triennial workshop.

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